



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/724,265	11/28/2000	Bruce M. Held	N1205-008

CONFIRMATION NO. 7900

## FORMALITIES LETTER



\*OC000000005899047\*

 Nancy T. Morris  
 Rothwell, Figg, Ernst & Manbeck  
 Suite 701-East  
 555 13th Street, N.W.  
 Washington, DC 20004

Date Mailed: 03/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$890.
  - \$810 for 45 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1730.

A copy of this notice MUST be returned with the reply.

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 00000002 09724265  
 710.00 DP  
 130.00 DP  
 80.00 DP  
 610.00 DP

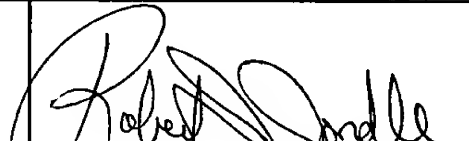
D6 MISSING PARTS  
Scrub \$

AUG 27 2001  
PATENT & TRADEMARK OFFICE

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Complete if Known		
	Application Number	09/724,265	
	Filing Date	November 28, 2000	
	First Named Inventor	Bruce Marvin HELD et al.	
	Examiner Name		
	Group Art Unit	1638	
Total Number of Pages in This Submission: 12		Attorney Docket Number	N1205-008
ENCLOSURES (check all that apply)			

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to<br>Board of Appeals and<br>Interferences<br><input type="checkbox"/> Appeal Communication to<br>Group (Appeal Notice, Brief,<br>Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please<br>identify below): |
|--|---|--|

REMARKS:

SUBMITTED BY				Complete (if applicable)	
NAME AND REG. NUMBER	Robert J. Jondle, Reg. No. 33,915				
SIGNATURE		DATE	August 24, 2001	DEPOSIT ACCOUNT USER ID	



**FEE TRANSMITTAL  
for FY 2001  
(Larg Entity)**

Complete if Known

<b>FEE TRANSMITTAL for FY 2001 (Larg Entity)</b>		Application Number	09/724,265
		Filing Date	November 28, 2000
		First Named Inventor	Bruce M. HELD et al.
		Examiner Name	
		Group Art Unit	1638
Total Amount of Payment	(\$) <b>2,620.00</b>	Attorney Docket Number	N1205-008

**METHOD OF PAYMENT** (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status

2. ☒ Payment Enclosed: Check

**FEE CALCULATION**

**1. FILING FEE**

Fee Code	Fee \$	Fee Description	Fee Paid
101	710	Utility filing fee	[ 710 ]
106	320	Design Filing Fee	[ ]
107	490	Plant Filing Fee	[ ]
108	710	Reissue Filing Fee	[ ]
114	150	Provisional Filing Fee	[ ]

**SUBTOTAL \$ 710**

**2. CLAIMS**

	Extra Claims	Fee	Fee
Paid			
Total Claims [ 65 ] - 20** = [ 45 ] x \$18 =			[ 810 ]
Independent Claims [ 4 ] - 2** = [ 1 ] x 80 =			[ 80 ]
Multiple Dependent Claims + 270 =			[ ]

\*\*or number previously paid, if greater;

**SUBTOTAL \$ 890**

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[ 130 ]
127	50	Surcharge - late provisional filing fee or cover sheet	[ ]
139	130	Non-English specification	[ ]
147	2,520	For filing a request for reexamination	[ ]
112	920	Requesting publication of SIR prior to Examiner action	[ ]
113	1,840*	Requesting publication of SIR after Examiner action	[ ]
115	110	Extension for reply within first month	[ ]
116	390	Extension for reply within second month	[ ]
117	890	Extension for reply within third month	[ 890 ]
118	1,390	Extension for reply within fourth month	[ ]
128	1,890	Extension for reply within fifth month	[ ]
119	310	Notice of Appeal	[ ]
120	310	Filing a brief in support of an appeal	[ ]
121	270	Request for Oral Hearing	[ ]
138	1,510	Petition to institute a public use proceeding	[ ]
140	110	Petition to revive -unavoidable	[ ]
141	1,240	Petition to revive - unintentional	[ ]
142	1,240	Utility issue fee (or reissue)	[ ]
143	440	Design issue fee	[ ]
144	600	Plant issue fee	[ ]
122	130	Petitions to the Commissioner	[ ]
123	50	Petitions related to provisional applications	[ ]
126	180	Submission of Information Disclosure Statement	[ ]
581	40	Recording each patent assignment per property (times number of properties)	[ ]
146	710	Filing a submission after final rejection (37 CFR .129(a))	[ ]
149	710	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
179	710	Request for Continued Examination (RCE)	[ ]
169	900	Request for expedited examination of a design application	[ ]

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL**

**\$1,020**

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Robert J. Jondle, Ph.D Reg. No. 33,915				
SIGNATURE		DATE	May 7, 2001	DEPOSIT ACCOUNT USER ID	

N1205-008  
RJJ/sav



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
BRUCE MARVIN HELD et al. ) Box Missing Parts  
Serial No. 09/724,265 ) Attention:  
Filed: November 28, 2000 ) Applications Branch  
For: METHODS AND )  
COMPOSITIONS FOR THE )  
INTRODUCTION OF )  
MOLECULES INTO CELLS )

RESPONSE TO NOTICE TO FILE MISSING PARTS  
FILING DATE GRANTED

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated March 26, 2001, response copy attached, Declarations and Powers of Attorney and a Request for Extension of Time identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

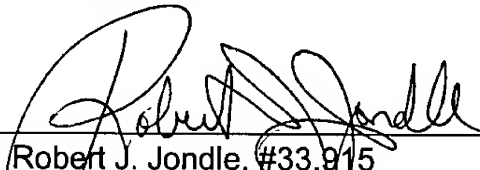
\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))  
710.00 (basic filing fee)  
890.00 (additional claims fee)  
890.00 (3 month extension of time)

\$2,620.00 TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135.

Respectfully submitted,

By



Robert J. Jondle, #33,915  
Attorney for Applicants  
ROTHWELL, FIGG, ERNST & MANBECK  
13906 Gold Circle, Suite 204  
Omaha, NE 68144  
Telephone: (402) 333-1550